METHYLENE-INDOLINES, INDOLENINES AND INDOLENINIUMS, XXII (1) THE FISCHER INDOLIZATION OF SOME SUBSTITUTED CYCLOPENTANONES.

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Abstract : When submitted to the acidic conditions of the Fischer indolization reaction, the phenylhydrazone of 2,5-dimethylcyclopentanone 1 did not give the expected indolenine 1c, but carbinolamines 1d. Depending on the substitution pattern of the aromatic ring, or that of the cyclopentanone, dimers 3j, 4j, ketone 3e, or compounds 5m and 5n resulting from the cleavage of the cyclopentane ring were obtained NaBH₃CN reduction of the reaction mixture mainly gave indolines such as 1f.

Some time ago, we reported² on the easy "nitrous acid deamination" of indolenines **B** (n=4) (resulting from the Fischer annulation of cyclohexanone-phenylhydrazones **A** to cyclohexano-benzofurans **C** (n=4) (scheme 1). The reaction was developed from the idea that indolenines **B** are equivalent to anilinoketones

In view of the synthesis of marine sequiterpenes of the aplysine series³ we investigated the same sequence starting from substituted cyclopentanones (n=3). While the Fischer cyclization of the phenylhydrazones of α -unsubstituted cyclopentanones is well documented⁴, results related to α -substituted cyclopentanones are scarce in the literature and formation of indolenines has only been claimed in a very few instances, albeit in low, or unspecified yields.^{5,6,7}



The present work is an account of attempts of Fischer indolization of arylhydrazones 1-5. These compounds were prepared from 2,5-dimethyl-8, 2,2,5-trimethyl-9 cyclopentanone and from methyl-2,5-dimethylcyclopentanone-2-carboxylate¹⁰, respectively, by heating with an arylhydrazine in refluxing ethanol containing a catalytic amount of AcOH. The resulting arylhydrazones began to decompose under attempted purification, and therefore the isolated crude materials were immediately heated in

concentrated acetic acid under argon for 1.5 h. After dilution with water, the reaction mixture was made alkaline and further extracted with methylene chloride. The formed derivatives where then isolated after repeated centrifugal tlc. $B^{1} = B^{2}$



When starting from 2,5-dimethylcyclopentanone, a compound was isolated (50%), whose structure deduced from the NMR and mass spectral data best fitted with a 1 1 mixture of diastereoisomeric carbinolamines 1d and 1'd (scheme 2) : the UV spectrum was indolinic; the mass spectrum exhibited a molecular peak at m/z 203 (24 %) and the base peak (100%) at m/z 147, which could result from a conceivably easy fragmentation to 3-methyloxindole. All peaks in the ¹³C NMR spectrum could be attributed (figure 2) and the ¹H NMR spectrum was in agreement with the structure (figure 1) However an easy dimerization upon standing was deduced from the occurrence of some higher m/z ions in the mass spectrum 370 (0.2%; M - H₂0), 315(0.2%), 314(0.2) and 217(7%) and of some absorption in the IR carbonyl



1.4

1.02

146

0

.1 35

1.04

3 24

1 38

1.08

1 50

9f

92

2 51

6 32

6 88

2 51

45

2 30

3 08

a



Figure 1: ¹H NMR data.





Figure 2 ¹³C NMR data

region at 1710, 1700 and 1680 cm-1. In chloroform solution, this extra carbonyl absorption disappeared and no CO signal was detectable in the ¹³C NMR spectrum. Therefore 1d and 1'd appeared to be monomeric, at least in this solvent. In the presence of silica, the initial 1:1 mixture of diastereoisomers equilibrated to a 1.5 mixture. The major isomer is thought to be 1'd, which suffers less steric hindrance than 1d.

Two paths may be envisioned to account for the formation of carbinolamines 1d and 1'd. This depends on the precursor from which ammonia is eliminated either the bicyclic imines 1a,1'a, or the tricyclic aminals 1b,1'b via indolenines 1c,1'c. Some arguments support the latter hypothesis.'The fact that the equilibrium (in favor of 1'd) was not immediately reached in the presence of water could imply that 1 d would result from addition of water on the (protonated) 1c, rather than on 1a, 1'a, which would lead to the more easily interconvertible 1e and 1'e. When the reaction of phenylhydrazone 1 in acetic acid was immediately followed by in situ reduction with an excess NaBH3CN, the sole indoline 1'f was formed, with no trace of 1f. On the contrary, when the reaction was performed in ethanolic HCi, both products 1f and 1'f were obtained in a 2:1 ratio The structure of 1'f rests on its indolinic UV spectrum, on its mass spectrum (m/z 187.15, 52%, M⁺⁺ (C13 H17 N), 158, 51 %; 144, 96%, 131, 45 %, 130, 100%), and on its ¹³C NMR spectrum The question of the C(3) stereochemistry of 1f and of 1'f cannot be completely answered; NOE experiments gave no decisive results Finally, the most striking spectroscopical difference between 1f and 1'f was the 0.4 ppm downfield shift of the C(3a)-H NMR signal (372 in 1'f vs 331 in 1f), which was indicative of a small modification in the necessarily cis orientation of the C(3a)-H bond and the nitrogen pair. If this were not the case a stereoelectronical interaction would develop with the substituent at C(3), *i.e.* Me and H, for 1f and 1'f. In 1f, the vicinity of C(3)-Me and N(4)-H distorts the fused system, so that C(3a)-H no longer completely eclipses the nitrogen pair (upfield signal at 3 31 ppm). The C(3a)-H signal of the congested compound 4f appears at a nearly identical position (3.25 ppm). Thus, a shielded signal for C(3a)-H must be associated with a cis relationship between C(3a)-H and the bulkiest substituent at C(3) (scheme 2). This result implies the intermediacy of the (protonated) targeted indolenines 1c and 1'c in acidic medium. Of interest is the striking structural relationship of 1d,1'd and 1f with a part-structure of the indole alkaloids tuboxenine and vindolinine, in which epimerization of the secondary methyl group has been reported 11

In sharp contrast with these results (scheme 3), upon heating of the *m*-tolylhydrazone of 2,5dimethylcyclopentanone 2 in concentrated acetic acid, six products were formed in low yields . two dimers, whose structures were not fully elucidated, but which apparently arose from C-C bonding between the aromatic rings, the two regioisomeric indolines 2"f and 2"f, the structures of which compared with that of 1'f, especially in terms of orientation of the C(3a)-H bond (δ H(3) = 370 and 372 ppm, respectively), and the two regioisomeric oxindolic methylketones 2 I and 2' I, whose structures were easily deduced from their spectral data. The reason why the presence of the additional aromatic methyl group modifies to such an extent the course of the reaction is not obvious. All four observed monomers appear to result, either from reduction (by hydrazine), or from oxidation (during work up, through a dioxetane) of each of the regioisomers 2c and 2'c As *m*-tolylphenylhydrazine had been prepared by SnCl₂ reduction of the corresponding nitrosamine, traces of Sn derivatives may have catalyzed this oxidation, and the aromatic dimerization as well.



When the Fischer cyclization of 2 was immediately followed by NaBH₃CN reduction (AcOH, 0°C, 2h), the four possible isomeric indolines 2f, 2'f, 2"f and 2"'f resulted. Their total yield after isolation was 35% (cyclization in AcOH), 41% (cyclization in 10% ethanolic H₂SO₄) and 48% (cyclization in 30% ethanolic HCI). In all three runs, the ratio of the (*rel*)-3 α -methyl (2f + 2'f) to the 3 β -methyl derivatives (2"f + 2"'f) was roughly 3:7, and the ratio of the 6-substituted isomers (2f + 2"f) to the 8-substituted ones (2'f + 2"'f) was slightly in favor of the 6-substituted series, as previously reported 12

When starting from 2,2,5-trimethylcyclopentanone, heating of its phenylhydrazone 3 in acetic acid followed by aqueous workup and separation afforded dimer 3j (58%) (scheme 4) Similarly (scheme 5), the *m*-tolylhydrazone 4 gave the symmetrical regioisomeric dimers 4j (30%) and 4'j (28%) (as can be unambiguously deduced from the ¹H and ¹³C NMR spectra) As no crossed dimer was found in the latter experiment, dimerization occured after separation of the monomers

The dimeric character of compounds **3j**, **4j** and **4'j** appeared clearly from their UV, mass and NMR spectra For instance, although the molecular peak was not detected in the MS of **3j**, a series of fragments up to m/z 385 (M·+-31) was seen. Moreover, the complementary ions m/z 199 (35%, *cf* **3c**) and 217 (19%, *cf* **3d** or **3e**) were of high diagnostic value. The base peak in the spectrum was at m/z 184 (199-15), in agreement with the easy loss of the angular methyl group in species **3c** under electron impact. The six methyl groups appeared as six different signals on the ¹H and ¹³C NMR spectra, and the eight aromatic carbons as eight different signals as well. The carbonyl group was responsible for an IR absorption at 1710 cm⁻¹, and for a 205 ppm signal on the ¹³C NMR spectrum (figure 2) while the (indolinic) carbon C(3a) bearing the geminal diamino group was at 103.3 ppm

Of interest is the fact that each of the regioisomeric (racemic) dimers 4j and 4'j was present as only one of two possible diastereoisomeric pairs of monomers. This might involve the intermediacy of a species





like 4k in the dimerization process The steric interactions in such a species would then preclude dimerization of two enantiomeric monomers of opposite configuration. Again, the initially formed 3a may evolve into 3j along two different paths involving species 3b-e. In contrast with the cyclization of 1, the supplementary crowding due to the gem-dimethyl group appears to disfavor intramolecular cyclization into 3b vs 3d. A possibility is that the isolated dimer originates from initial dimerization of 3a into 3g, and from this into 3h and 3i.

Upon standing for 2 hrs in methanol in the presence of silica, **3j** almost quantitatively generated the monomeric bicyclic ketone **3e**, which did not spontaneously cyclize into the tricyclic carbinolamine **3d**. The same transformation was observed to a lesser extent upon prolonged (5 h) standing of **3j** in CDCl3 during recording of NMR spectra. The structure of **3e** was deduced from the m/z 217 molecular peak in its MS, and from the simplification of the 1H NMR spectrum . four aromatic protons between 7.1 and 7.6 ppm , two methylenes at 1.9-2 ppm and three methyl groups at 1.30, 1.47 and 1.49 ppm. The easy (irreversible?) isolation of **3e** from **3j** would then be in favour of the formation of **3j** through **3g**, rather than from **3e**.

An identical phenomenon was observed during measurement of the NMR spectra of dimers 4j and 4'j. Here, the regioisomer 4'j appeared more prone to hydrolysis into the monomer (due to the additional slight steric interaction involving the aromatic methyl group) than 4j, and ketone 4'e could be characterized.

Upon indolization in AcOH, methyl-2,5-dimethylcyclohexanone-2-carboxylate yielded two pairs of unseparable diastereoisomers (scheme 6)⁻ carbinolamines 5d, 5'd (19%), and iminohydrazines 5n, 5'n (15%), together with the stereoisomeric oxindoles 5m (3%) and 5'm (3%) (scheme 6) Carbinolamines 5d, 5'd, indolinic UV spectrum, M⁺ 261 (17%) exhibited in their MS a base peak at m/z 147 (3-methyloxindole). The ratio of isomers 5d:5'd was 1:3 as seen from the methyl signals on the ¹H NMR spectrum ⁻ 1.40 and 1.31 ppm for the minor isomer, and 1 37 and 1.46 ppm for the major one All carbon atoms gave double signals in the ¹³C NMR spectrum.

Compounds **5m**, **5'm**, oxindolic UV spectrum, M.⁺ 261, showed in their MS peaks corresponding to the progressive loss of the side chain : m/z 201-202 (14-7%, M-COOMe,H) 174 (5%), 160 (26%) and 146 (100%, 3-methylindole *minus* 1 mu. The ¹H NMR spectrum showed Me peaks at 1.07 (d) and 1.39 (s) ppm for one isomer, and at 1.09 (d) and 1.40 (s) ppm for the other, while the ¹³C NMR spectrum exhibited 15 signals, which were in agreement with the structure (figure 2)

Iminohydrazines **5n**, **5'n** had spectral features comparable with those of oxindoles **5m**, **5'm** : UV, 205, 265 nm ; MS : M + 351 (19%) ; peaks at m/z 236 (complete loss of the side chain) and 77 (100%, benzenium ion), ¹H NMR, Me groups at 1.08/1.10 and 1.50/1 51 ppm in a 3.4 ratio ; ¹³C NMR: 23 signals (figure 2).

Formation of carbinolamines **5d**, **5'd** was similar to that of **1d**, **1'd**. Cleavage of the 3,3a bond in the oxindoles obviously results from a retroaldol cleavage affecting **5d**, **5'd**, and iminohydrazines **5n**, **5'n** also result from a similar fragmentation affecting for instance **5p** and **5'p**. This reflects a competition between the addition of water and the addition of phenylhydrazine to an iminium at any stage of the reaction process Such additions of phenylhydrazines on indolenines have been reported^{13,14,15} and are likely to occur during most of the Fischer syntheses of indolenines They are, however, reversible in most instances, which was not the case here, due to fragmentation

In stu reduction of the cyclization mixture with NaBH₃CN gave the unaffected iminohydrazines 5n, 5'n (25%) and indolines 5f, 5'f (5%) (5f:5f'=5:1). The low frequency of the C=O band (1700 cm⁻¹), as well as the low field signal (3.8 ppm) of C(3a-H), favored the depicted structure 5f for the major isomer. As no oxindoles 5m, 5'm were present, it is inferred that these compounds do not result from hydrolysis of 5n, 5'n, neither in acetic acid nor during the work up



In conclusion, the Fischer cyclization of the phenylhydrazones of α, α' -disubstituted and trisubstituted cyclopentanones failed in every case to yield stable indolenines. When the related indoleninium ions, or their equivalents, are formed, they appear to be extremely prone to nucleophilic addition, either of water, or of phenylhydrazine, or through a dimerization process, to yield a *cis*-fused ring system

While less strained than the corresponding indoleniniums, the tricyclic carbinolamines resulting from water addition are themselves extremely sensitive to minor steric factors: whereas a $(rel)-3\beta$ -Me appears to suffer more steric interactions than a $(rel)-3\alpha$ -Me, in the 3,3-dimethyl derivatives the steric interaction suffered by the non epimerisable $(rel)-\beta$ - substituent is then overcome by opening of the pyrrole ring This observation, once again, underlines the equivalence of indolenines with anilinoketones.

Finally, it is apparent from the above results that each of the three monomeric or dimeric interconvertible species corresponding to **3d**, **3e** and **3j** on scheme 4 are likely to be formed upon Fischer annulation of substituted cyclopentanones, while the structures of the isolated end products mainly hinge on steric factors. Regarding dimeric species, it may be noticed that no symmetrical dimer with a structure corresponding to compound **7**, recently described by Southwick following a different synthetic approach¹⁶, was isolated.

From a preparative point of view, the yield of anylation of the cyclopentane nucleus was in most cases around 50%. Of special interest is the stereochemical control of three adjacent chiral centers on the cyclopentane ring in indoline 1f.

EXPERIMENTAL

All commercially available products were purchased from Aldrich, and were used without purification; UV spectra were measured on a Varian 634 apparatus, IR spectra were recorded on a Beckman

MS : M ⁺ (composition, intensity %) other peaks (intensity %)	M ⁺ 203 1315(C ₁₃ H ₁₇ ON, 24),185(18) 170(21),160(14),147(100),146(53),144-43(32)	M ⁺ 231(C ₁₄ H ₁₇ NO ₂ , 36),161(49),160(100),142(15)	M ⁺ 231(C ₁₄ H ₁₇ NO ₂ , 30),174(8),161(54),160(100),142(14)		No M+ + 385(M-31,1),384(2),217 1457(19),199(35),185(13), 184 1134(100),171(13),160(17),147(85),146(40),144(40),143(3)	No M ⁺ • 333(23),264(21),247(10),214(13),213(23),199(12) 198(54),162(13),161(44),160(46),159(42),158(100),157(23)	No M ⁺ 443(M-1 2), 424(21),313(10),312(10),287(15),247(13), 231(13),230(40),217(17),214(15),213(25),200(13),199(13), 198(50),152(33),161(100),156(58),157(44),144(27),130(15)	M ⁺ 261(C ₁₅ H ₁₉ NO ₃ , 17),201(26),200(19),164(13), 160(19),148(13),147(100),146(28),144(19)	M ⁺ 351(C ₂₁ H ₂ CN ₂ O ₂ , 19),236(42),184(13),145(51), 144(28),105(17),83(28),77(100)	M ⁺ 261(C ₄₅ H ₄ ,0NO ₃ · 19),201(15),160(26),146(100), 130(15),128(25),117(14),115(32),91(11),77(14)	nearly identical with exception of 201(13),160(20)	
Rαm ⁻¹ . f=film soln=CHCl ₃ solution w=weak	f:3380,1710-1700- 1680(w),1600	1:3240,1710,1700,1620	f.3220,1700,1690,1600	see Table II see Table II	soln:3660,3560,3450, 1710,1600,1580	soln:3400,3320, 1710,1610,1580	soln:3330,3280,1715 1700,1650,1580	f.3360,1720,1710 1620	f:3320,1740,1720 1680,1670,1660,1600	f:3260,1720,1700	idem	
UV(MeOH) : λ. max nm sh=shoulder	207, 243, 295	210, 250	210, 250, 280(sh)		270, 240, 298	210, 247, 300	212, 248, 290	208,243,285	205,265	208, 246, 280(sh)	idem	layer chromatographies
lsolated yield % (x y ratho ff a mixture)	50 (1:1)	4 (*)	4 ()	CC 86	58	00	58	19 (1.3)	15 (3.4)	ы	3	centrifugal then thin
Type of chromato- graphy(eluant) Relative polarity (1=less polar)	œ (CHCl ₃)	oo (CH ₂ Cl ₂) then to (CHCl ₂ -hexane 5.1) <i>1</i>	idem 2	ldem 3 Idem 4	ttc (CH ₂ Cl ₂)	œ (CH ₂ Cl ₂ -MeOH 99:1) 1	œ (CH ₂ Cl ₂ -MeOH 99:1) 2	œ (CH ₂ Cl ₂ -MeOH 99:1) 1	idem 2	idem 3	Idem 4	d lowered by successive
Products	1d +1'd	2 1	2'	2" † 2" †	31	4	4.]	5d +5'd	5 n +5' n	ŝ	5'm	(*) isolated yiel

Table I: Rearrangement products of arythydrazones ($^{1}\mathrm{H}\,\mathrm{and}\,^{13}\mathrm{C}\,\mathrm{NMR}$; see figures 1 and 2)

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Products	Type of chromato- graphy (solvant) Relative polarity (1=less polar)	Yield % (<i>method</i>)	UV(MeOH) A max nm	IR cm ⁻¹ (flm)	MS · M ⁺ · (composition, intensity %) other peaks (intensity %)
÷	tic (CH ₂ Cl ₂ -hexane 4 1) 1	35 (A)	208, 247, 302	3380, 1600	M ⁺ 187(C ₁₃ H ₁ 7N, 52),159(17),158(51),145(15),144(96), 143(12),132(31),131(45),130(100),77(28)
Ŧ		3 (B)	·	3420, 1600	M^+ 187(C ₁₃ H ₁₇ N, 50), nearly superimposable with 1'f
2.1	ttc (CH ₂ Cl ₂ -hexane 3:1) 1	13 (A) 18 (B) 14 (C)	218, 249, 302	3360, 1610	M ⁺ 201.1502(C ₁₄ H ₁₉ N, 75),186(22),173(20), 172 1119(100),159(15),156(98),146(35), 145(49),144 0812(49),115(13)
2"'1	7 - -	11 (A) 16 (B) 13 (C)	219, 250, 300	3380, 1590	M ⁺ 201.1508(C ₁₄ H ₁₉ N, 70), nearly identical with 2"f with exception of 172(76) and 144(100)
3	ი,	6 (A) 7 (B) 7 (C)	220, 245, 295	3380, 1590	M+ 201 (C ₁₄ H ₁ 9N, 90) nearly identical with 2"f
2'1	4 • •	5(A) 7 (B) 7 (C)	220, 245, 292	3380, 1590	M+ 201(Cr ₁₄ H1 ₉ N, 60) nearly identical with 2 ¹ f with exception of 172(39) and 146(80)
4	ttc (CH ₂ Cl ₂ -hexane 4:1)	ഗ	220, 248, 298	3400, 1600	M+ 215(C ₁₅ H ₂₁ N, 60), 201(10),186(10),172(20). 158(45),146(100),144.45(85)
51 + 5'1	tte (CH ₂ Cl ₂ -MeOH 99 :1)	5 (A) (5:1) mixture	207, 245, 298	3360, 1700, 1595	M ⁺⁻ 245(C ₁₅ H ₁₉ NO ₂ , 34),188(10), 170(10),145(49),144(100), 132(20),131(39),130(90),103(10),77(20)
§ This comp	bound originates from 2,2,	5-trimethylcyclop	entanone present as a c	contaminant in 2,5-dimethytcy	dopentanone

Table II : Physical data of indolines f $~(^{1}{\rm H}~{\rm and}~^{13}{\rm C}~{\rm NMR}$; see figures 1 and 2)

Brücker AC 300 : HREIMS spectra (E=-70 eV) were obtained on a JEOL JMS D-300 spectrometer; Merck Kieselgel 60 PF254 was used for thin layer chromatography (tlc) or centrifugal chromatography (cc).

Preparation and rearrangement of anylhydrazones of 2,5-dimethyl-cyclopentanone, of 2, 2,5-trimethyl-cyclopentanone and methyl-5-methyl-cyclopentanone-2-carboxylate : general procedure :

(3-methyl-phenylhydrazine was prepared from *m*-toluidine¹⁷)

* Method A : A mixture of anylhydrazine (1 mmol), ketone (1.1 mmol) and acetic acid (1 drop) in absolute ethanol (5 ml) was refluxed under nitrogen atmosphere until all the arylhydrazine was transformed into a mixture of two less polar products (hydrazones) (about 1 h). Ethanol was then thoroughly removed by distillation and 1 ml of glacial acetic acid was added. The mixture was heated at 100°C for 1 h, then diluted with water (20 ml), and made alkaline with K2CO3 up to pH 10 and extracted with CH2Cl2 (3 x 20 ml) The organic extracts were collected and washed with a saturated NaCl aqueous solution, then dried with MgSO4, filtered, evaporated and chromatographed (see tables I and II).

* Method B : The ethanolic solution of phenylhydrazone was saturated with gazeous HCI, then refluxed for 1 5 h. The solvent was distilled; the residue was submitted to the above work-up.

* Method C : The ethanolic solution of phenylhydrazone was added with 97% H2SO4 (0.5ml/mmol) and refluxed for 1.5 h. Most of the ethanol was then distilled; the residue was diluted with a saturated Na2CO3 aqueous solution, and submitted to the usual work-up.

Preparation of ketone 3e : On standing for 4 h in a CH2Cl2 suspension of silica, dimer 3 partially gave ketone 3e (3j:3e - 1:5, measured by ¹H NMR); Spectral data of the mixture, HREIMS : m/z (intensity %): no peaks over m/z 220 : 217.1457 (6) C14H19NO (M+ for 3e , 199.1383 (31) C14H17N , 184.1134 (100) C13H14N ; 161(17) C10H11NO , 147(26) ; 144(27) ; 143(29) , 130(12) , 115(14); 91(7); 77(10). IR (CHCl3 soln.) 3410, 1705, 1600 and 1570 cm⁻¹. ¹H NMR : see Table I

Preparation of ketone 4'e : On standing for 1.5 h in the presence of D2O, a CDCl3 solution of dimer 4'j gave the nearly pure ketone 4'e. IR (CDCl3 soln) 1700, 1600 and 1580 cm⁻¹; ¹H NMR : see Table I.

Preparation of indolines : NaBH3CN reduction of the rearrangement mixture of arylhydrazone : At the end of the above reaction (method A), the acetic acid solution was cooled at 0°C. Then five molar equivalents of NaBH3CN were added portionwise within 1 h. Water was added, and the solution was made basic (pH=10) with K2CO3, and extracted as usual. If methods B or C were used, the ethanol was firstly removed (distillation), and replaced with 1-2 ml acetic acid/mmol. Then NaBH3CN was added as above (see table II for yields, and for physical data)

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